

# Isospin-Asymmetry Dependence of the Thermodynamic Nuclear Equation of State

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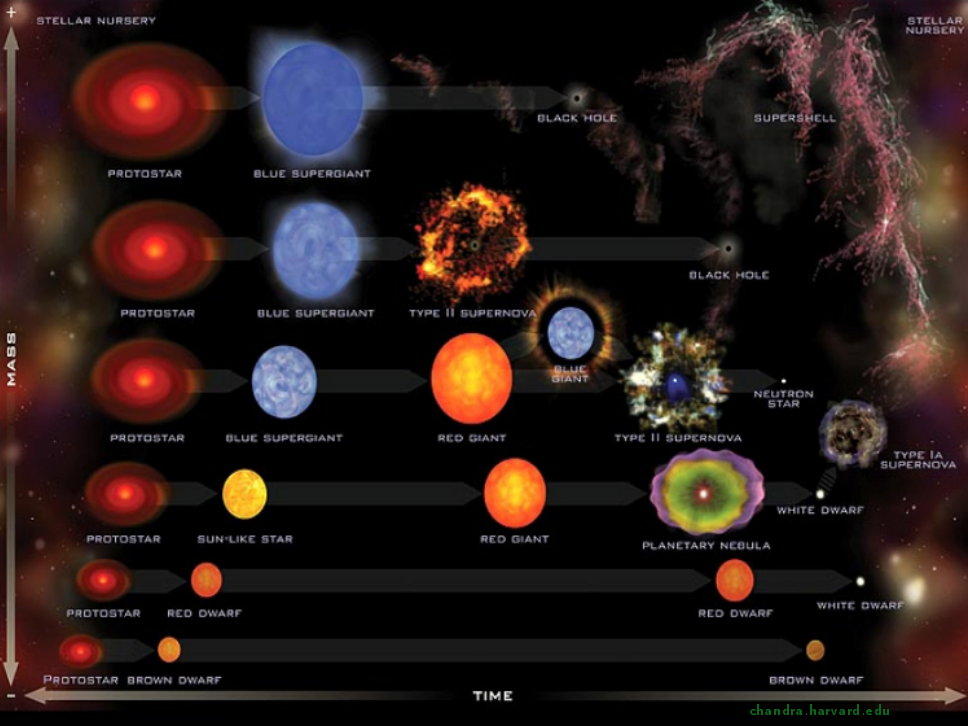
Publications: PRC **89**, 064009 (2014); PRC **92**, 015801 (2015); PRC **93**, 055802 (2016)

**ICNT Program at FRIB**

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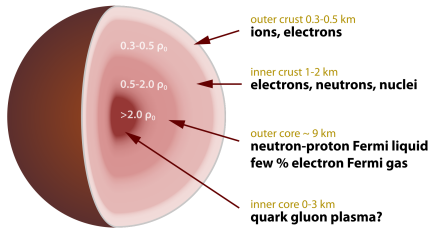


Work supported in part by DFG and NSFC (CRC 110)



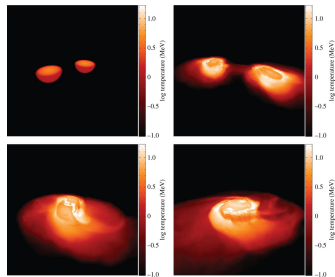
# The Nuclear EoS: Interplay of Nuclear Physics and Astrophysics

Neutron Stars:  $T \sim 0$



[www2.astro.puc.cl](http://www2.astro.puc.cl)

Binary Mergers:  $T \lesssim 50$  MeV



Rosswog: *Phil. Trans. R. Soc. A* 371 (2013)

- astrophysical constraints on the EoS from (e.g.,) neutron-star masses and radii, moments of inertia, ...
- task of nuclear theory: computation of the EoS from microphysics  
→ EoS numerical input for simulations of supernovae and neutron-star mergers

Novel developments in theoretical nuclear physics: chiral EFT, renormalization group

→ low-momentum interactions (no “hard core”)

→ enables the use of Many-Body Perturbation Theory to compute the EoS

# Modern Theory of Nuclear Interactions

- **chiral EFT**: general **low-energy effective field theory** consistent with symmetries of **QCD**, degrees of freedom: **nucleons & pions**
- **systematic hierarchy of nuclear interactions** controlled by expansion parameter  $Q/\Lambda_\chi = \text{soft scale/hard scale}$ , where  $\Lambda_\chi \sim 1 \text{ GeV}$

	NN Force	3N Force	4N Force
LO ( $Q/\Lambda_\chi$ ) <sup>0</sup>		—	—
NLO ( $Q/\Lambda_\chi$ ) <sup>2</sup>		—	—
NNLO ( $Q/\Lambda_\chi$ ) <sup>3</sup>			—
N <sup>3</sup> LO ( $Q/\Lambda_\chi$ ) <sup>4</sup>		<del></del> not considered here	<del></del> not considered here

- restrict resolution via UV cutoff  $\Lambda < \Lambda_\chi$  in momentum space
- **LECs**  $c_i(\Lambda)$  fixed by high-precision fits to few-nucleon observables

→ **NN and 3N nuclear potentials for many-body calculations**

Nuclear potentials are not unique! → uncertainty estimations (but: artifacts possible)

Low-momentum potentials  $\Lambda \lesssim 450 \text{ MeV}$ : MBPT becomes valid approach!

# Many-Body Perturbation Theory (MBPT)

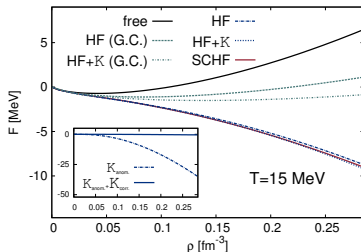
- linked-cluster expansion (“Goldstone expansion”) for ground state energy ( $T = 0$ )
- textbook approach at finite  $T$ : expansion of grand-canonical potential  
 $\Omega(T, \mu) = \Omega_0(T, \mu) + \Omega_1(T, \mu) + \Omega_2(T, \mu) + \mathcal{K}_{\text{anom}}(T, \mu) + \dots$   
But: **not consistent with Goldstone expansion**, cannot describe spinodal instability

## Proper finite-temperature MBPT: canonical ensemble, expansion for free energy

- “naive” approach: linked-cluster expansion of free energy; does not work because canonical-ensemble averages are constrained (fixed  $N$ )
- instead: **evaluate ensemble averages via Legendre transform of cumulants**; gives  
 $F(T, \tilde{\mu}) = F_0(T, \tilde{\mu}) + A_1(T, \tilde{\mu}) + A_2(T, \tilde{\mu}) + \mathcal{K}_{\text{anom}}(T, \tilde{\mu}) + \mathcal{K}_{\text{corr}}(T, \tilde{\mu}) + \dots$ 
  - $\tilde{\mu}$  fixed by  $\rho(T, \tilde{\mu}) = \partial F_0 / \partial \tilde{\mu} \rightarrow$  **consistent with Goldstone expansion!**
  - additional contributions from **unlinked diagrams**  $\mathcal{K}_{\text{corr}}$ , renormalize  $\tilde{\mu}$
- canonical series can be also derived via reorganization of grand-canonical series (Kohn-Luttinger method), but equivalence is only formal (asymptotic series!)

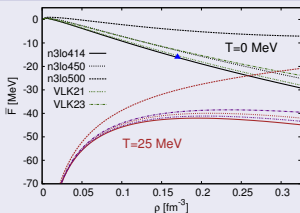
## Mean-field benchmark

- fully renormalized MBPT: SCHF
- large  $\mathcal{K}_{\text{anom}}$  ( $\varepsilon$  **renormalization**), but  $\mathcal{K}_{\text{anom}} + \mathcal{K}_{\text{corr}}$  ( $\varepsilon$  **and**  $\tilde{\mu}$  **renormalization**) is small
- spinodal region only from canonical and fully renormalized MBPT

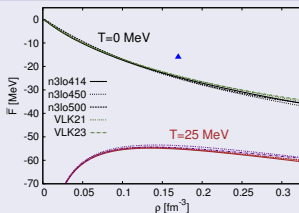


# Chiral Nuclear EoS: Order-By-Order Results for various NN+3N Potentials

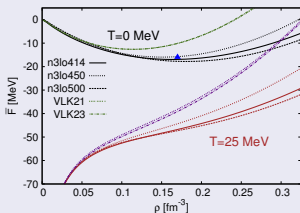
Isospin-symmetric nuclear matter:  $\delta := (\rho_n - \rho_p)/\rho = 0$ ,  $Y := \rho_p/\rho = 1/2$



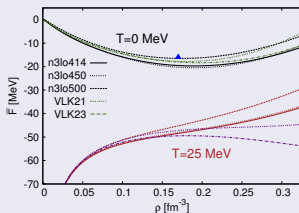
(a) NN first order, no 3N



(b) NN second order, no 3N



(c) NN second order, 3N first order

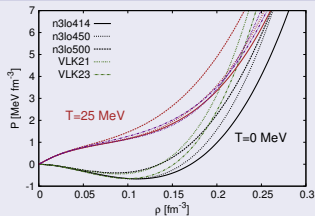
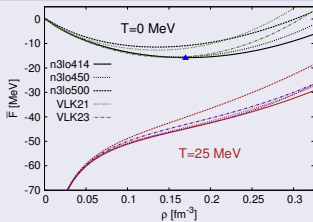


(d) NN second order, 3N second order

- **n3lo414** & **n3lo450**: good perturbative behavior  $F_1 > F_2 \gg F_3$   
(third order: **Holt & Kaiser: 1612.04309 (2016)**)
- **n3lo500**: less perturbative ( $F_{1,NN}$  &  $F_{2,NN}$  similar magnitude)
- **VLK21** & **VLK23**: NN perturbative, but large contributions from 3N potential

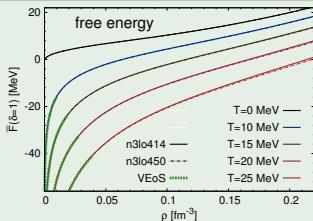
# Chiral Nuclear EoS: Effective-Mass Improved Results

Isospin-symmetric nuclear matter:  $\delta := (\rho_n - \rho_p)/\rho = 0$ ,  $Y := \rho_p/\rho = 1/2$

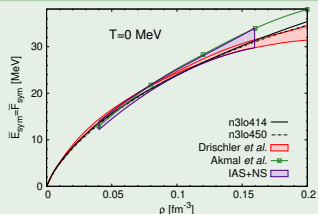


- empirical saturation point: **n3lo414**, **n3lo450**, **n3lo500**, **VLK21**, **VLK23**
- VLK21** & **VLK23** ruled out by thermodynamics (pressure isotherm crossing)

Pure neutron matter ( $\delta = 1$ ,  $Y = 0$ )



Symmetry free energy  $\bar{F}_{\text{sym}}$



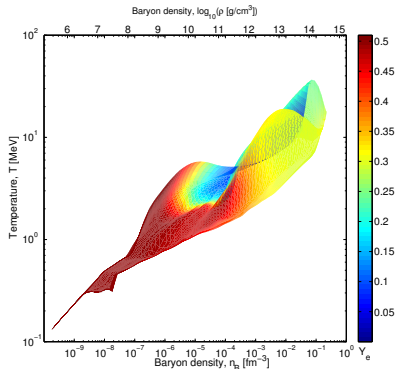
IAS & NS: Danielewicz & Lee, NPA 922 (2014)

Good agreement with virial expansion and constraints on  $\bar{F}_{\text{sym}} := \bar{F}(\delta = 1) - \bar{F}(\delta = 0)$

# Need Chiral Nuclear EoS for Astrophysics Applications

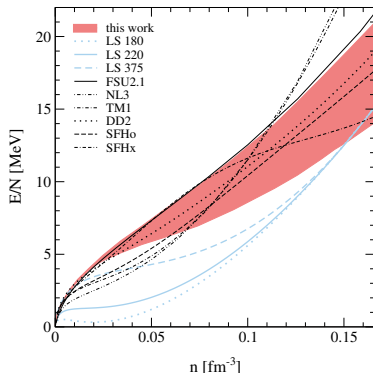
Phase space covered in supernovae:

$$T \sim 0 - 50 \text{ MeV}, \rho \sim 0 - 6 \rho_{\text{sat}}, \delta \sim 0 - 1$$



Fischer et al.: *Astrophys. J. Suppl.* 194 (2011)

Chiral vs. phenomenological EoS  
( $T = 0, \delta = 1$ )



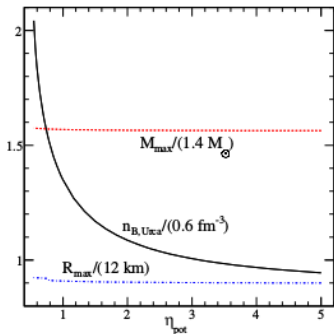
Krueger, Tews et al.: *PRC* 88 (2013)

Direct computation expensive;  $\rightsquigarrow$  explicit parametrizations of the nuclear EoS?

$\rightsquigarrow$  parabolic approximation of  $\delta$  dependence:  $\bar{F}(\delta) \simeq \bar{F}(\delta = 0) + F_{\text{sym}} \delta^2$

**Question: is this really appropriate?**





Steiner: PRC 74 (2006)

Sensitivity to  $\delta$  dependence of threshold density for direct URCA process:

- Quartic parametrization of EoS  

$$F(\delta) = F(0) + A_2\delta^2 + A_4\delta^4$$
- Change  $A_{2,4}$  with  $A_2 + A_4$  fixed  

$$(\eta = 1/2, A_4 = -4/9A_2)$$
  

$$(\eta = 1, A_4 = 0)$$
  

$$(\eta = 3, A_4 = 4A_2)$$

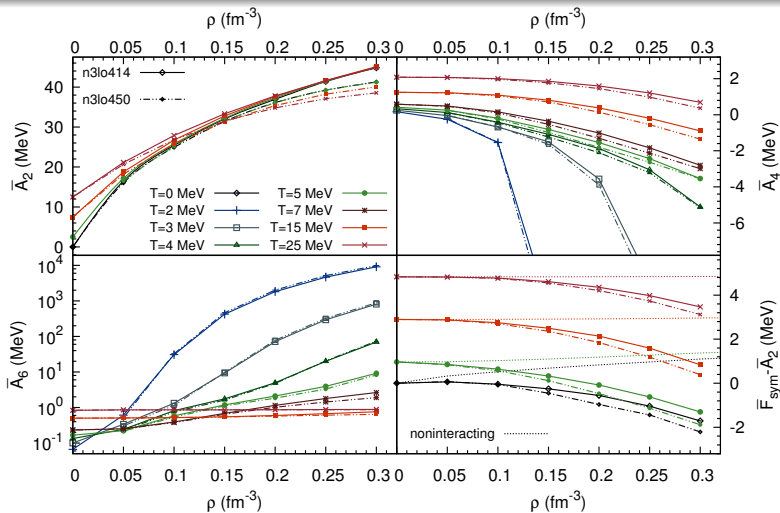
Terms beyond the parabolic approximation can be important for astrophysics!

$\rightsquigarrow$  investigate the expansion in  $\delta$ :

$$F(T, \rho, \delta) \sim \sum_{n=0}^N A_{2n}(T, \rho) \delta^{2n}, \quad \text{with} \quad A_{2n}(T, \rho) = \left. \frac{1}{(2n)!} \frac{\partial^{2n} \bar{F}(T, \rho, \delta)}{\partial \delta^{2n}} \right|_{\delta=0}$$

- convergence behavior of the expansion?
- accuracy of parabolic approximation: how large is  $F_{\text{sym}}(T, \rho) - A_2(T, \rho)$ ?

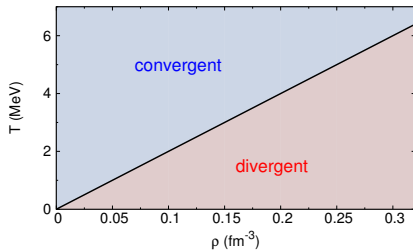
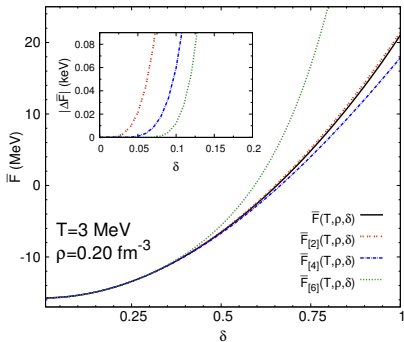
# Results for Expansion Coefficients $A_{2,4,6}$ (and for $F_{\text{sym}} - A_2$ )



- **dominant contribution** to  $F_{\text{sym}} - A_2$  from **noninteracting term** and **3N interactions**
- $A_{2n \geq 4}$  become very large at low  $T \rightsquigarrow$  **divergent asymptotic expansion!**  
 $\Rightarrow$  **higher-order parametrizations of  $\delta$  dependence inhibited at low  $T$**

# Divergent Expansion at Low Temperature

Examine higher-order approximations, e.g.,  $F_{[4]} := A_0 + A_2\delta^2 + A_4\delta^4$



What is the origin of the divergent behavior at low  $T$ ?

→ contributions beyond Hartree-Fock in MBPT, e.g., second-order term:

$$F_2(T, \tilde{\mu}^n, \tilde{\mu}^p) = -\frac{1}{8} \sum_{1234} \bar{V}_{2B}^{12,34} \bar{V}_{2B}^{34,12} \frac{n_1 n_2 \bar{n}_3 \bar{n}_4 - \bar{n}_1 \bar{n}_2 n_3 n_4}{\varepsilon_3 + \varepsilon_4 - \varepsilon_1 - \varepsilon_2}$$

$T = 0$ : integrand diverges at boundary of integral, leads to  $|A_{2n \geq 4}| \xrightarrow{T \rightarrow 0} \infty$

# Logarithmic Terms in the Isospin-Asymmetry Dependence at $T = 0$

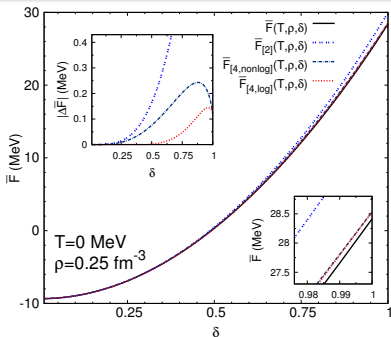
Exact results (at second order) with  $S$ -wave contact interaction

$$F(T = 0, \rho, \delta) = A_0(0, \rho) + A_2(0, \rho) \delta^2 + \sum_{n=2}^{\infty} A_{2n, \text{reg}}(\rho) \delta^{2n} + \sum_{n=2}^{\infty} A_{2n, \text{log}}(\rho) \delta^{2n} \ln |\delta|$$

- Logarithmic terms also from third-order terms **Holt & Kaiser: 1612.04309 (2016)**
- Logarithmic terms also when ladders are resummed (checked numerically)

Logarithmic terms also for chiral interactions?  $\rightarrow$  yes!

- $\bar{A}_{4, \text{log}}$  and  $\bar{A}_{4, \text{reg}}$  extracted numerically to good accuracy
- **Quartic terms lead to considerably improved approximation!**



Influence of higher-order terms (beyond  $\delta^2$ ) on neutron-star properties?

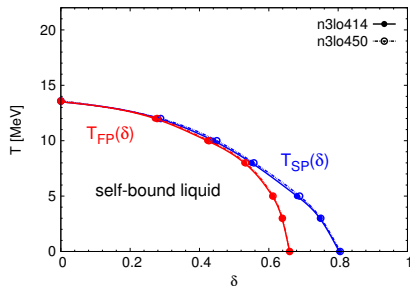
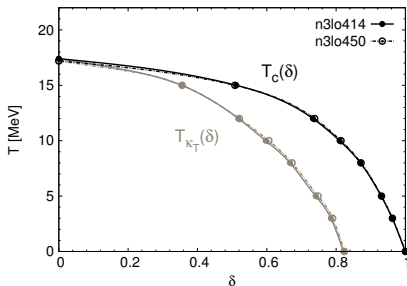
- logarithmic  $\delta$  terms: only small influence on proton fraction
- influence of using  $Y$  parametrizations? (work in progress)

# Isospin-Asymmetry Dependence of Nuclear Liquid-Gas Phase Transition

Stability criterion:  $\mathcal{F}_{ij} = \frac{\partial^2 F(T, \rho_n, \rho_p)}{\partial \rho_i \partial \rho_j}$  has only positive eigenvalues

- $\delta = 0$ : reduces to pure-substance criterion  $\partial P / \partial \rho > 0$
- **isospin distillation** in isospin-**asymmetric** nuclear matter (**binary system!**)

- endpoint of **critical line**  $T_c(\delta)$  at proton fraction  $Y = (1 - \delta)/2 \simeq 3 \cdot 10^{-4}$
- **fragmentation temperature**  $T_{FP}(\delta)$  endpoint at  $Y \simeq 0.17$



- at large  $\delta$ :  $T_c(\delta)$  strongly influenced by **entropy of mixing**  $\sim T Y \ln(Y)$
- at  $T = 0$ : terms  $\sim Y^{5/3}$  (also from interaction contributions!)

## Thermodynamic Nuclear EoS from Chiral EFT Interactions

- proper finite-temperature MBPT: **canonical** series, cumulants evaluated via Legendre transformation to **grand-canonical ensemble averages**
- accuracy of parabolic  $\delta$  approximation decreased for high densities and high temperatures
- $\delta$  dependence is **nonanalytic at low  $T$** , logarithmic terms at  $T = 0$
- entropy of mixing  $\sim TY \ln(Y)$ , terms  $Y^{5/3}$  at  $T = 0$

## Outlook: Chiral EoS for Astrophysics Applications

- **need to extrapolate EoS to higher densities and temperatures**
- one approach: **construct explicit  $(\rho, T)$  parametrizations via fits** ( $\rightarrow$  quantify uncertainties of extrapolation via fit ambiguities)
- $\rho$  dependence straightforward, but  **$T$  dependence problematic:**
  - **extrapolation not well-behaved for many parametrizations** (e.g., "Sommerfeld"-polynomial  $\sum_n \alpha_n T^{2n}$ )
  - **SNM: computed data has tendency towards pressure isotherm crossing**
  - **PNM: approximately  $T$ -independent for  $T \lesssim 25$  MeV and  $\rho \lesssim 2 \rho_{\text{sat}}$**

Thank you for your attention!





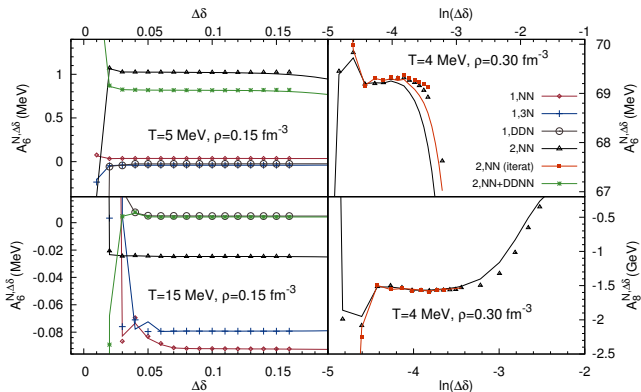
# Appendix 1: Isospin-Asymmetry Expansions

# A1: Extraction of Maclaurin Coefficients with Finite Differences

General of  $2N + 1$  point central finite difference approximation for  $\bar{A}_{2n}(T, \rho)$

$$\bar{A}_{2n}(T, \rho) \simeq \bar{A}_{2n}^{N, \Delta\delta}(T, \rho) = \frac{1}{(2n)! (\Delta\delta)^{2n}} \sum_{k=0}^N \omega_{2n}^{N,k} \bar{F}(T, \rho, k \Delta\delta).$$

Fornberg: *Math.Comp* 51 (1988)



- stepsize ( $\Delta\delta$ ) and grid length ( $N$ ) variations as accuracy checks
- systematically increase precision of numerical integration routine

## A2: Extraction of Leading Logarithmic Term at Zero Temperature

- finite differences of zero-temperature logarithmic series ( $\sim \delta^{2n \geq 4} \ln |\delta|$ ):

$$\bar{A}_4^{N, \Delta\delta} = \bar{A}_{4, \text{reg}} + C_1^4(N) \bar{A}_{4, \text{log}} + \bar{A}_{4, \text{log}} \ln(\Delta\delta) + C_2^4(N) \bar{A}_{6, \text{log}} \Delta\delta^2 + \mathcal{O}(\Delta\delta^4), \quad (0.1)$$

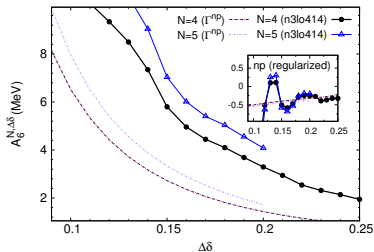
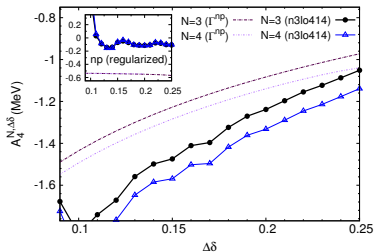
$$\bar{A}_6^{N, \Delta\delta} = \bar{A}_{6, \text{reg}} + C_1^6(N) \bar{A}_{4, \text{log}} \Delta\delta^{-2} + \bar{A}_{6, \text{log}} \ln(\Delta\delta) + C_2^6(N) \bar{A}_{6, \text{log}} + \mathcal{O}(\Delta\delta^2). \quad (0.2)$$

- extract leading logarithmic term via:

$$\Xi_4(N_1, N_2) := \frac{\bar{A}_4^{N_1, \Delta\delta} - \bar{A}_4^{N_2, \Delta\delta}}{C_4^1(N_1) - C_4^1(N_2)} \simeq \bar{A}_{4, \text{log}}, \quad (0.3)$$

$$\Xi_6(N_1, N_2) := \frac{\bar{A}_6^{N_1, \Delta\delta} - \bar{A}_6^{N_2, \Delta\delta}}{C_6^1(N_1) - C_6^1(N_2)} \Delta\delta^2 \simeq \bar{A}_{4, \text{log}}, \quad (0.4)$$

- benchmark against analytical results for  $S$ -wave contact interaction



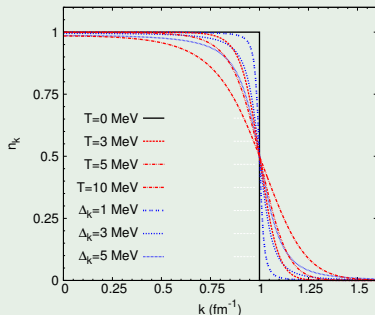
## A3: BCS distribution functions

What about pairing? → perturbation series about BCS ground state ( $\sim$  Bogoliubov)

BCS distribution functions:

$$n_k^{\text{BCS}} = \frac{1}{2} \left[ 1 + \xi_k (\Delta_k^2 + \xi_k^2)^{-1/2} \right], \quad \bar{n}_k^{\text{BCS}} = \frac{1}{2} \left[ 1 - \xi_k (\Delta_k^2 + \xi_k^2)^{-1/2} \right]$$

→ compare with finite-temperature Fermi-Dirac distributions



→ expansion divergent also for BCS perturbation series, similar to low- $T$  results

Overall:

- logarithmic terms for  $T \rightarrow 0 \wedge \{N, \Omega\} \rightarrow \infty \wedge \Delta_k \rightarrow 0$
- divergent asymptotic expansion in the region “close enough” to these limits

Logarithmic terms also in self-consistent schemes, e.g., BHF, SCGF ?

→ examine  $\delta$  dependence of EoS from all-order-sum of ladder diagrams with S-wave contact interaction  $V_{\text{contact}} = \pi M^{-1}(a_s + 3a_t + (a_t - a_s)\vec{\sigma}_1 \cdot \vec{\sigma}_2)$

Kaiser: EPJA 48 (2014)

$$\bar{E}_{0,\text{resum}}(k_F^n, k_F^p) = -\frac{24}{\pi M [(k_F^n)^3 + (k_F^p)^3]} \left( \Gamma_{\text{resum}}^{\text{nn}}(a_s) + \Gamma_{\text{resum}}^{\text{pp}}(a_s) + \Gamma_{\text{resum}}^{\text{np}}(a_s) + 3\Gamma_{\text{resum}}^{\text{np}}(a_t) \right)$$

where

$$\Gamma_{\text{resum}}^{\text{nn/pp}}(a_s) = \int_0^1 ds s^2 \int_0^{\sqrt{1-s^2}} d\kappa \kappa (k_F^{\text{n/p}})^5 \arctan \frac{I(s, \kappa)}{(a_s k_F^{\text{n/p}})^{-1} + \pi^{-1} R(s, \kappa)}$$

$$\Gamma_{\text{resum}}^{\text{np}}(a_s/t) = \int_0^{(k_F^n + k_F^p)/2} dP P^2 \int_{q_{\min}}^{q_{\max}} dq q \arctan \frac{\Phi(P, q, k_F^n, k_F^p)}{(a_s/t)^{-1} + (2\pi)^{-1} [k_F^n R(\frac{P}{k_F^n}, \frac{q}{k_F^n}) + k_F^p R(\frac{P}{k_F^p}, \frac{q}{k_F^p})]}$$

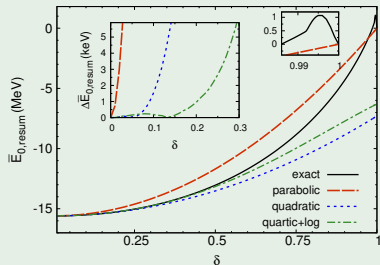
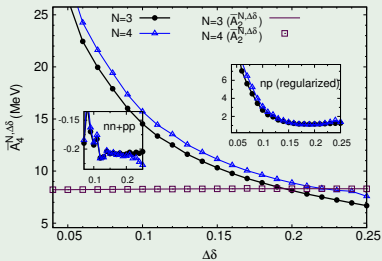
The functions  $I(s, \kappa)$ ,  $R(s, \kappa)$  and  $\Phi(P, q, k_F^n, k_F^p)$  are given by

$$I(s, \kappa) = \kappa \Theta(1 - s - \kappa) + \frac{1 - s^2 - \kappa^2}{2s} \Theta(s + \kappa - 1),$$

$$R(s, \kappa) = 2 + \frac{1 - (s - \kappa)^2}{2s} \ln \frac{1 + s + \kappa}{|1 - s - \kappa|} + \frac{1 - s^2 - \kappa^2}{2s} \ln \frac{1 + s - \kappa}{1 - s + \kappa}$$

$$\Phi(P, q, k_F^n, k_F^p) = \begin{cases} q & \text{for } P + q < k_F^p \\ \frac{(k_F^p)^2 - (P - q)^2}{4P} & \text{for } k_F^p < P + q < k_F^n \wedge |P - q| < k_F^p \\ \frac{(k_F^n)^2 + (k_F^p)^2 - 2(P^2 - q^2)}{4P} & \text{for } k_F^n < P + q \wedge P^2 + q^2 < \frac{(k_F^n)^2 + (k_F^p)^2}{2} \end{cases}$$

## Ladder resummation: isospin-asymmetry dependence



### Finite-difference results:

- quadratic coefficient  $\bar{A}_2$  regular
- quartic coefficient  $\bar{A}_4$ : logarithmic for np-channel, but regular in nn+pp

### Interaction contribution to ground-state energy per particle (np-channel):

- breakdown of “parabolic law”,  $\bar{F}_{sym} - \bar{A}_2 \simeq 15.6 - 8.3$  large
- quartic+log approximation very accurate for  $\delta \lesssim 0.5$ , but large deviation in very neutron-rich region
- exact results: maximum at  $\delta \gtrsim 0.99$  (vanishes for larger  $a$ ), and even a kink?

→ nonanalytic terms should arise also in self-consistent schemes, e.g., BHF, SCGF

## Appendix 2: MBPT

# Standard Finite-Temperature Perturbation Theory

Hamiltonian  $\mathcal{H} = \mathcal{T} + \mathcal{V}$ , with  $\mathcal{H} |\Psi_p\rangle = E_p |\Psi_p\rangle$  and  $\mathcal{T} |\Phi_p\rangle = \mathcal{E}_p |\Phi_p\rangle$ , where

$$\mathcal{T} = \sum_{ij} \langle \phi_i | T | \phi_j \rangle a_i^\dagger a_j = \sum_i \varepsilon_i a_i^\dagger a_i \quad \mathcal{V} = \frac{1}{2!} \sum_{ijkl} \langle ij | V_{2N} | kl \rangle a_i^\dagger a_j^\dagger a_l a_k$$

- grand-canonical partition function:

$$Y = \sum_p \langle \Psi_p | e^{-\beta(\mathcal{H} - \mu\mathcal{N})} | \Psi_p \rangle = \sum_p \langle \Psi_p | e^{-\beta(\mathcal{T} - \mu\mathcal{N})} \mathcal{U}(\beta) | \Psi_p \rangle$$

- Dyson operator:

$$\mathcal{U}(\beta) = e^{-\beta\mathcal{T}} e^{\beta\mathcal{H}} = \sum_{n=0}^{\infty} \frac{(-1)^n}{n!} \int_0^\beta d\beta_n \cdots d\beta_1 \mathcal{P}[\mathcal{V}_I(\beta_n) \cdots \mathcal{V}_I(\beta_1)]$$

- change basis ( $|\Psi_p\rangle \rightarrow |\Phi_p\rangle$ ), then  $\Delta A = A - \mathcal{A}$  given by:

$$\Delta A = -\frac{1}{\beta} \ln \left[ \sum_{n=0}^{\infty} \frac{(-1)^n}{n!} \int_0^\beta d\beta_n \cdots d\beta_1 \langle \mathcal{P}[\mathcal{V}_I(\beta_n) \cdots \mathcal{V}_I(\beta_1)] \rangle \right]$$

- contraction rules (where  $f_i^- = 1/[1 + \exp(\beta(\varepsilon_i - \mu))]$ ,  $f_i^+ = 1 - f_i^-$ ):

$$\underbrace{a_i^\dagger a_k}_{\text{hole}} = \delta_{ik} f_i^- \quad \underbrace{a_k a_i^\dagger}_{\text{particle}} = \delta_{jk} f_i^+$$

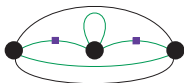
- linked-cluster theorem:

$$\Delta A = \frac{1}{\beta} \sum_{n=0}^{\infty} (-1)^n \int_{\beta > \beta_n > \dots > \beta_0 > 0} d\beta_n \cdots d\beta_0 \langle \mathcal{V}_I(\beta_n) \cdots \mathcal{V}_I(\beta_0) \rangle_{\text{linked}}$$

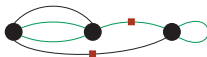
Note: all this works also for canonical ensemble, but the constraint  $\langle \Phi_p | \mathcal{N} | \Phi_p \rangle = N$  implies that single-particle states cannot be summed over independently  $\Rightarrow$  useless!



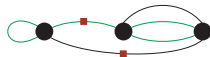
# Third-Order Non-Skeletons



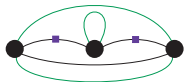
(a) self-energy



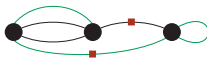
(b) one-loop



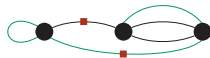
(c) one-loop



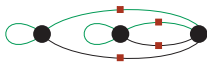
(d) self-energy



(e) one-loop



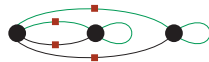
(f) one-loop



(a) two-loop



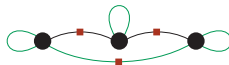
(b) two-loop



(c) two-loop



(d) three-loop



(e) three-loop

- **non-skeletons are insertions:** cut articulation lines  $\rightsquigarrow$  collection of unlinked clusters
- “self-energy” and anomalous one-loop diagrams related by cyclic vertex permutations  $\rightsquigarrow$  **spurious terms in perturbation series**

# Cumulant Formalism

- $\mathcal{G}_{i_1 \dots i_n}^{k_1 \dots k_m} = \langle a_{i_1}^\dagger a_{i_1} \dots a_{i_n}^\dagger a_{i_n} a_{k_1} a_{k_1}^\dagger \dots a_{k_m} a_{k_m}^\dagger \rangle$  has generating function  $\mathcal{Y}$ :

$$\mathcal{G}_{i_1 \dots i_n}^{k_1 \dots k_m} = \frac{1}{\mathcal{Y}} \frac{\partial}{\partial(-\beta\varepsilon_{i_1})} \dots \frac{\partial}{\partial(-\beta\varepsilon_{i_n})} \left(1 - \frac{\partial}{\partial(-\beta\varepsilon_{k_1})}\right) \dots \left(1 - \frac{\partial}{\partial(-\beta\varepsilon_{k_m})}\right) \mathcal{Y}$$

- evaluate in terms of **cumulants**  $\mathcal{K}_{i_1 \dots i_n} = \frac{\partial^n \ln \mathcal{Y}}{\partial(-\beta\varepsilon_{i_1}) \dots \partial(-\beta\varepsilon_{i_n})}$ :

$$\mathcal{G}_{i_1 \dots i_n}^{k_1 \dots k_m} = \sum_{P \subset \{1, \dots, m\}} (-1)^{|P|} \mathcal{G}_{i_1 \dots i_n} \prod_{\nu \in P} k_\nu, \quad \mathcal{G}_{i_1 \dots i_n} = \sum_{P \in \text{partitions of } \{1, \dots, n\}} \prod_{\nu \in I} \mathcal{K}_{\nu} \mathcal{G}_{i_\nu}$$

- skeletons unchanged:  $\mathcal{K}_{i_1 \dots i_n} = \mathcal{G}_{i_1 \dots i_n}$  for  $i_1 \neq i_2 \neq \dots \neq i_n$
- self-energy diagrams:

$$\mathcal{G}_{i_1 \dots i_n a a}^{k_1 \dots k_m} \sim \mathcal{K}_a \mathcal{K}_a + \mathcal{K}_{aa} = f_a^- f_a^- + f_a^- f_a^+ = f_a^-$$

$$\mathcal{G}_{i_1 \dots i_n a a a}^{k_1 \dots k_m} \sim \mathcal{K}_a \mathcal{K}_a \mathcal{K}_a + 3\mathcal{K}_{aa} \mathcal{K}_a + \mathcal{K}_{aaa} = f_a^- f_a^- f_a^- + 3f_a^- f_a^+ + f_a^- f_a^+ (f_a^+ - f_a^-) = f_a^-$$

⋮

- no contributions from anomalous diagrams:

$$\mathcal{G}_{i_1 \dots i_n a \dots a}^{a \dots a} = \sum_{P \subset \{1, \dots, l\}} (-1)^{|P|} \mathcal{G}_{i_1 \dots i_n a \dots a} \prod_{\nu \in P} a_\nu = \sum_{P \subset \{1, \dots, l\}} (-1)^{|P|} \mathcal{G}_{i_1 \dots i_n a} = 0$$

# Anomalous Contributions via Simply-Connected Diagrams

- expansion of logarithm yields

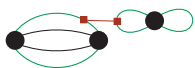
$$\Delta A = \sum_{n=0}^{\infty} \sum_k \sum_{\{a_j\}, \{b_j\}} \beta^{b_1 + \dots + b_k - 1} \binom{b_1 + \dots + b_k}{b_1, \dots, b_k} \frac{(A_{a_1})^{b_1} \dots (A_{a_k})^{b_k}}{b_1 + \dots + b_k} \Big|_{a_1 b_1 + \dots + a_k b_k = n}$$

- each term  $A_{a_j}$  has linked and unlinked contributions

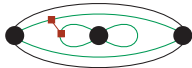
$$A_{n, \text{unlinked}} = \left[ \frac{1}{\beta} \frac{1}{\alpha_1! \dots \alpha_\nu!} (\Gamma_{n_1})^{\alpha_1} \dots (\Gamma_{n_\nu})^{\alpha_\nu} \right]_{n_1^{\alpha_1} + \dots + n_\nu^{\alpha_\nu} = n}$$

- by factorization theorem the only terms that survive are **simply-connected** in terms of higher cumulants, e.g.,

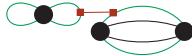
$$(\Gamma_{2, \text{normal}})_{ij}^{kl} (\Gamma_1)_{ab} : \left[ \mathcal{G}_{ij; ab}^{kl} \right]_{\text{s.c.}} = 2^2 \times \delta_{ia} \mathcal{K}_{ii} \mathcal{K}_j \mathcal{K}_b \bar{\mathcal{K}}_l \bar{\mathcal{K}}_k - 2^2 \times \delta_{ka} \mathcal{K}_{kk} \mathcal{K}_i \mathcal{K}_j \mathcal{K}_b \bar{\mathcal{K}}_l$$



(a)  $(\Gamma_{2, \text{normal}} \Gamma_1) [\mathcal{G}_{ij; ab}^{kl}]_{\text{s.c.}}$



(b)  $(\Gamma_{2, \text{normal}} \Gamma_1) [\mathcal{G}_{ij; ab}^{kl}]_{\text{s.c.}}$



(c)  $(\Gamma_{2, \text{normal}} \Gamma_1) [\mathcal{G}_{ij; ab}^{kl}]_{\text{s.c.}}$

resummation of insertions  $\rightsquigarrow$  renormalization of distribution functions

$$f_a^\pm[S] = \sum_{m=0}^{\infty} \frac{1}{m!} (S(a))^m \frac{\partial^m}{\partial \varepsilon_a^m} f_a^\pm = \frac{1}{1 + \exp(\pm \beta (\varepsilon_a + S(a) - \mu))}$$

## Correlation-Bond Formalism

- start with standard perturbation series for  $\Delta F = F - \mathcal{F}$ :

$$\Delta F = \sum_{n=0}^{\infty} \sum_k \sum_{\{a_j\}, \{b_j\}} \beta^{b_1 + \dots + b_k - 1} \binom{b_1 + \dots + b_k}{b_1, \dots, b_k} \frac{(F_{a_1})^{b_1} \dots (F_{a_k})^{b_k}}{b_1 + \dots + b_k} \Big|_{a_1 b_1 + \dots + a_k b_k = n}$$

- the cumulants are now given by  $\mathcal{K}_{i_1 \dots i_n} = \frac{\partial^n \ln \mathcal{Z}}{\partial[-\beta \varepsilon_{i_1}] \dots \partial[-\beta \varepsilon_{i_n}]}$ ; evaluate using

$$\ln \mathcal{Z}(T, \tilde{\mu}, \Omega) = \ln \mathcal{Y}(T, \tilde{\mu}, \Omega) - \tilde{\mu} \frac{\partial \ln \mathcal{Y}(T, \tilde{\mu}, \Omega)}{\partial \tilde{\mu}}$$

where  $\tilde{\mu}$  is given by  $N(T, \tilde{\mu}, \Omega) = \sum_i \tilde{f}_i^-$ ; since  $N$  is regarded fixed,  $\tilde{\mu}$  is a functional of the spectrum  $\{\varepsilon_\alpha\}$ , i.e., as implicit equation:

$$\mathcal{J}(\tilde{\mu}, \{\varepsilon_\alpha\}) = \sum_\alpha \tilde{f}_\alpha^- - N = 0$$

R. Brout & F. Englert, PR 120 (1960)

This method effectively “shifts” the constraint  $\langle \Phi_p | \mathcal{N} | \Phi_p \rangle = N$  to the level of diagrams, resulting in new simply-connected contributions (“**correlation bonds**”), e.g.,  $\mathcal{K}_{ia} \sim \mathcal{K}_{ia} + \delta_{ia} \mathcal{K}_{ii}$ , with

$$\mathcal{K}_{i_1 i_2} = \left( \frac{\partial \mathcal{K}_{i_1}}{\partial[-\beta \varepsilon_{i_2}]} \right)_{\mathcal{J}} = \frac{\partial \mathcal{K}_{i_1}}{\partial[\beta \tilde{\mu}]} \left( \frac{\partial[\beta \tilde{\mu}]}{\partial[-\beta \varepsilon_{i_2}]} \right)_{\mathcal{J}} = - \frac{\tilde{f}_{i_1}^- \tilde{f}_{i_1}^+ \tilde{f}_{i_2}^- \tilde{f}_{i_2}^+}{\sum_\alpha \tilde{f}_\alpha^- \tilde{f}_\alpha^+}$$

Resummation of correlation bonds renormalizes auxiliary chemical potential  $\tilde{\mu}$